SUPPLEMENTARY MATERIAL: Survey distributed to potential respondents.

For purposes of this study, clinical trial data sharing is defined as any instance in which an investigator makes trial data (published or unpublished) available to an individual with whom he/she is not collaborating. This can be accomplished either indirectly through a data repository or directly in response to a personal request. The data released are assumed to include socio-demographic and clinical information at the patient-level and contain sufficient detail to conduct statistical analyses of the outcome variables.

Please select the most appropriate answer to each of the following questions.

r icase s	elect the mi	ost appropriate answe	i to cacii oi tiic	i lollowing c	juestions.	
1. The c	linical resear	ch community should	promote and fa	icilitate clini	cal trial data sharing.	
Strong	ly agree	2 Somewhat agree	2 Somewhat	disagree	Strongly disagree	
2. Please	e briefly exp	lain your answer.				
archives For insta	of clinical t ance, you m	rial data accessible to	external invest e data reposito	igators and ry managed	trial data through dat managed by at least o by the National Heart	one research funder.
data rep	ository? [<i>BI</i>		V: If participants	s answer "Ye	study "[insert publicat 's" they will be present	
have an	y of the followered "No" Concerns Concerns	wing concerns? (Pleas to Question #3.] Related to Investigate Related to the Protec Related to Appropria ncerns	e check all that or or Funder Int tion of Researcl	apply) [<i>NO1</i> erests	udy through a data rep E: Question #4 only as	• • • • • • • • • • • • • • • • • • • •
significa	nt would the		STION: Particip		rests would be concer by be presented with Q	
-	Ensuring m	y ability to publish orig		_	ncerns No concerns	
-	Ensuring m	y colleague's ability to Major conce	publish original	l research us		
-	Ensuring th	-	cademic/scient	ific recognit	ion for sharing my clin incerns? No concerns	ical trial data
-	Incurring ex	penses associated wit	h sharing data (i.e., direct c		
-	Spending u	ndue time or effort to	prepare data fo	or sharing (i.e		
-	Protecting	© Major conce	information		ncerns No concerns	

If

(Optional) Please briefly describe any other issues related to *investigator or funder interests* that would be concerning to you.

4b. Which of the following issues related to the *protection of research subjects* would be concerning to you and how significant would they be? [**TAILORED QUESTION:** Participants will only be presented with Question #4b if they selected the corresponding response in root Question #4]

- [Maintaining	patient	confiden	tiality
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Major concerns
Minor concerns
No concerns

Obtaining research subject consent

2 Major concerns
2 Minor concerns
2 No concerns

(Optional) Please briefly describe any other issues related to the *protection of research subjects* that would be concerning to you.

4c. Which of the following issues related to appropriate data use would be concerning to you and how significant would they be? [TAILORED QUESTION: Participants will only be presented with Question #4c if they selected the corresponding response in root Question #4]

- Ensuring clarity of data elements for other investigators

Major concerns
Minor concerns
No concerns

Ensuring appropriate data use by other investigators

☑ Major concerns
☑ Minor concerns
☑ No concerns

- Preventing misinterpretation or misleading secondary analyses

☑ Major concerns
☑ Minor concerns ☑ No concerns

(Optional) Please briefly describe any other issues related to appropriate data use that would be concerning to you.

4d. Please briefly describe any other concerns you would have if you had been required to share the deidentified data from this study through a data repository. [**TAILORED QUESTION:** Participants will only be presented with Question #4d if they selected the corresponding response in root Question #4]

5. Has the data been deposited in a repository? [[NOTE: Question #5 only asked of participants who answered "Yes" to Question #3; BIFURCATION QUESTION: If participants answer "Yes" they will be presented with Question #6; If participants answer "No" they will be presented with Question #7.]

? Yes ?

6. Since sharing the deidentified data from this study through a data repository, have you had any of the following concerns? (Please check all that apply) [NOTE: Question #6 only asked of participants who answered "Yes" to Question #5.]

- Concerns Related to Investigator or Funder Interests
- Concerns Related to the Protection of Research Subjects
- Concerns Related to Appropriate Data Use
- Other Concerns
- No Concerns

6a. Which of the following issues related to *investigator or funder interests* have caused you concern and how significant have they been? [**TAILORED QUESTION:** Participants will only be presented with Question #6a if they selected the corresponding response in root Question #6]

Ensuring my ability to publish original research using the data

Major concerns

Minor concerns
No concerns

-	Ensuring my colleague's ability to publish original research using the data			
	Major concerns	Minor concerns No concerns		
-	Ensuring that I receive sufficient academic/scient	ific recognition for sharing my clinical trial data		
	Major concerns	Minor concerns No concerns		
-	Incurring expenses associated with sharing data (i.e., direct costs)			
	Major concerns	Minor concerns No concerns		
-	Spending undue time or effort to prepare data fo	r sharing (i.e., indirect costs)		
	Major concerns	Minor concerns No concerns		
-	Protecting commercially sensitive information			

Major concerns
Minor concerns
No concerns

(Optional) Please briefly describe any other issues related to *investigator or funder interests* that have caused you concern.

6b. Which of the following issues related to the *protection of research subjects* have caused you concern and how significant have they been? [**TAILORED QUESTION:** Participants will only be presented with Question #6b if they selected the corresponding response in root Question #6]

- Maintaining patient confidentiality

Major concerns
 Minor concerns
 No concerns

Obtaining research subject consent

Major concerns
Minor concerns
No concerns

(Optional) Please briefly describe any other issues related to the *protection of research subjects* that have caused you concern.

6c. Which of the following issues related to *appropriate data use* have caused you concern and how significant have they been? [**TAILORED QUESTION:** Participants will only be presented with Question #6c if they selected the corresponding response in root Question #6]

- Ensuring clarity of data elements for other investigators

☑ Major concerns
☑ Minor concerns ☑ No concerns

Ensuring appropriate data use by other investigators

Major concerns
Minor concerns
No concerns

Preventing misinterpretation or misleading secondary analyses

Major concerns
Minor concerns
No concerns

(Optional) Please briefly describe any other issues related to appropriate data use that have caused you concern.

6d. Please briefly describe any other concerns you have had since sharing the deidentified data from this study through a data repository. [TAILORED QUESTION: Participants will only be presented with Question #6d if they selected the corresponding response in root Question #6]

7. In anticipation of sharing the deidentified data from this study through a data repository, do you have any of the following concerns? (Please check all that apply) [NOTE: Question #7 only asked of participants who answered "No" to Question #5.]

- Concerns Related to Investigator or Funder Interests
- Concerns Related to the Protection of Research Subjects
- Concerns Related to Appropriate Data Use
- Other Concerns
- No Concerns

7a. Which of the following issues related to *investigator or funder interests* are concerning to you and how significant are they? [TAILORED QUESTION: Participants will only be presented with Question #7a if they selected the corresponding response in root Question #7]

-	Ensuring my ability to publish original research using the data			
	Major concerns	② Minor concerns ② No concerns		
-	I research using the data			
	Major concerns	Minor concerns No concerns		
-	Ensuring that I receive sufficient academic/scientific recognition for sharing my clinical trial			
	Major concerns	② Minor concerns ② No concerns		
- Incurring expenses associated with sharing data (i.e., direct costs)		(i.e., direct costs)		
	Major concerns	Minor concerns No concerns		
-	Spending undue time or effort to prepare data for sharing (i.e., indirect costs)			
	Major concerns	Minor concerns No concerns		
-	Protecting commercially sensitive information			

(Optional) Please briefly describe any other issues related to *investigator or funder interests* that are concerning to you.

Minor concerns
No concerns

7b. Which of the following issues related to the *protection of research subjects* are concerning to you and how significant are they? [TAILORED QUESTION: Participants will only be presented with Question #7b if they selected the corresponding response in root Question #7|

Maintaining patient confidentiality

Major concerns
Minor concerns
No concerns

Obtaining research subject consent

2 Major concerns 2 Minor concerns 2 No concerns

(Optional) Please briefly describe any other issues related to the *protection of research subjects* that are concerning to you.

7c. Which of the following issues related to *appropriate data use* are concerning to you and how significant are they? [**TAILORED QUESTION:** Participants will only be presented with Question #7c if they selected the corresponding response in root Question #7]

- Ensuring clarity of data elements for other investigators

Major concerns

2 Major concerns
2 Minor concerns
2 No concerns

- Ensuring appropriate data use by other investigators

Major concerns
Minor concerns
No concerns

Preventing misinterpretation or misleading secondary analyses

☑ Major concerns
☑ Minor concerns ☑ No concerns

(Optional) Please briefly describe any other issues related to appropriate data use that are concerning to you.

7d. Please briefly describe any other concerns you have in anticipation of sharing the deidentified data from this study through a data repository. [TAILORED QUESTION: Participants will only be presented with Question #7d if they selected the corresponding response in root Question #7]

8. If required to share data through a data repository, how long after study completion (i.e., final study data set is ready for analysis) should investigators be entitled to the right of first use of the data?

☑ No Right of First Use – the rights to data should be released immediately after study completion

 One Year Two Years Four Years Five Years or More No Time Limit – the right of first use is entitled until the main findings are accepted for publication 					
 9. Assume that research funders take on the following responsibilities: 1) Provide for the assembly and maintenance of the repository 2) Cover all monetary expenses (i.e., direct costs) associated with the repository 3) Oversee the application process to ensure intended data use is appropriate 					
All authors of a published clinical study should be required to deposit the deidentified data from the studyin a data repository.					
2 Strongly agree 2 Somewhat agree 2 Somewhat disagree 2 Strongly disagree					
10. (Optional) Do you have any additional comments related to sharing data through data repositories?					
The following questions focus on your own experience with sharing clinical trial data in response to personal requests. A personal data sharing request is any situation in which the author of a published clinical study is directly contacted by an individual requesting access to data relating to that publication.					
11. Have you received any personal requests to share patient-level clinical trial research data from your study "[insert study title]"? [BIFURCATION QUESTION: If participants answer "Yes" they will be presented with Questions #12-15; If participants answer "No" they will be presented with Question #18.] ② Yes ② No					
12. How many personal data sharing requests have you received for this study? [NOTE: Question #12 only asked of participants who answered "Yes" to Question #11.] ② One ② Two ② Three ② Four or More					
13. For what purpose(s) were the data request(s) made? (Please check all that apply) [NOTE: Question #13 only asked of participants who answered "Yes" to Question #11.] ② Systematic review/meta-analysis ② Cost analysis ② Subgroup analysis of originally published study ② Novel research question ② Verification of originally published study ② Academically oriented editorial ② Non-academically oriented editorial ② Other purposes (please specify): ② Did not specify					
14. How many personal data sharing requests have you granted for this study? [NOTE: Question #14 only asked of participants who answered "Yes" to Question #11; BIFURCATION QUESTION: If participants answer any response except "None" they will be presented with Question #16; If participants answer "None" they will be presented with Question #19.]					
2 None 2 One 2 Two 2 Three 2 Four or More					
15. How many personal data sharing requests have you declined/refused for this study? [NOTE: Question #15 only asked of participants who answered "Yes" to Question #11; BIFURCATION QUESTION: If participants answer any response except "None" they will be presented with Question #17; If participants answer "None" they will be presented with Question #20.]					
2 None 2 One 2 Two 2 Three 2 Four or More					
 16. For what general reason(s) did you share the study data? (Please check all that apply) [NOTE: Question #16 only asked of participants who answered any response except "None" to Question #14.] Administrative Requirements Promote Open Science Academic Benefits or Recognition 					

Other Reasons

16a. For what specific reason(s) related to *administrative requirements* did you share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #16a if they selected the corresponding response in root Question #16]

- Comply with journal policy on data sharing
- Comply with employer/research funder policy on data sharing

(Optional) Please briefly describe any other reasons related to administrative requirements.

16b. For what specific reason(s) related to *promoting open science* did you share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #16b if they selected the corresponding response in root Question #16]

- Belief in open scientific inquiry
- Promote new research using existing data
- Enhance robustness of previously conducted research
- Avoid redundant clinical trial data collection
- Pacilitate student/fellow opportunities for data analysis

(Optional) Please briefly describe any other reasons related to promoting open science.

16c. For what specific reason(s) related to *academic benefits or recognition* did you share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #16c if they selected the corresponding response in root Question #16]

- Potential to receive additional academic recognition for sharing data
- Potential to increase the impact of own research
- Professional or personal relationship with requester

(Optional) Please briefly describe any other reasons related to academic benefits or recognition.

16d. For what other specific reason(s) did you share the study data? [**TAILORED QUESTION:** Participants will only be presented with Question #16d if they selected the corresponding response in root Question #16]

17. For what general reason(s) did you NOT share the study data? (Please check all that apply) [**NOTE**: Question #17 only asked of participants who answered any response except "None" to Question #15.]

- Protect Investigator or Funder Interests
- Protect Research Subjects
- Ensure Appropriate Data Use
- Other Reasons

17a. For what specific reason(s) related to protecting investigator or funder interests did you NOT share the study data? (Please check all that apply) [TAILORED QUESTION: Participants will only be presented with Question #17a if they selected the corresponding response in root Question #17]

- Ensure my ability to publish original research using the data
- Ensure my colleague's ability to publish original research using the data
- Monetary expenses associated with sharing data (i.e., direct costs)
- Time or effort involved in preparing data for sharing (i.e., indirect costs)
- Protect commercially sensitive information
- Lack of academic recognition for sharing clinical trial data
- Prohibited by formal agreement with trial funder
- Unsure of employer/research funder policy on data sharing

(Optional) Please briefly describe any other reasons related to protecting investigator or funder interests.

17b. For what specific reason(s) related to protecting research subjects did you NOT share the study data? (Please
check all that apply) [TAILORED QUESTION: Participants will only be presented with Question #17b if they selected
the corresponding response in root Question #17

- Protect patient confidentiality
- Lack of patient informed consent to share

(Optional) Please briefly describe any other reasons related to protecting research subjects.

17c. For what specific reason(s) related to *ensuring appropriate data use* did you NOT share the study data? (Please check all that apply) [**TAILORED QUESTION**: Participants will only be presented with Question #17c if they selected the corresponding response in root Question #17]

- Did not trust data requester's intent
- Data not appropriate for requested purpose
- Potential for misinterpretation of data
- Potential for misleading secondary analyses

(Optional) Please briefly describe any other reasons related to ensuring appropriate data use.

17d. For what other specific reason(s) did you NOT share the study data? [**TAILORED QUESTION:** Participants will only be presented with Question #17d if they selected the corresponding response in root Question #17]

18. If you had received a personal request, would you be willing to share patient-level clinical data from this study? [NOTE: Question #18 only asked of participants who answered "No" to Question #11.]

? Yes

2 No

19. For what general reason(s) would you be most likely to share the study data? (Please check all that apply) [NOTE: Question #19 only asked of participants who answered "None" to Question #14.]

- Administrative Requirements
- Promote Open Science
- Academic Benefits or Recognition
- Other Reasons
- $\ensuremath{\mathbb{Z}}$ I would never share data if requested

19a. For what specific reason(s) related to administrative requirements would you be most likely to share the study data? (Please check all that apply) [TAILORED QUESTION: Participants will only be presented with Question #19a if they selected the corresponding response in root Question #19]

- Comply with journal policy on data sharing
- Comply with employer/research funder policy on data sharing

(Optional) Please briefly describe any other reasons related to administrative requirements.

19b. For what specific reason(s) related to *promoting open science* would you be most likely to share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #19b if they selected the corresponding response in root Question #19]

- Belief in open scientific inquiry
- Promote new research using existing data
- Enhance robustness of previously conducted research
- Avoid redundant clinical trial data collection
- Facilitate student/fellow opportunities for data analysis

(Optional) Please briefly describe any other reasons related to promoting open science.

19c. For what specific reason(s) related to *academic benefits or recognition* would you be most likely to share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #19c if they selected the corresponding response in root Question #19]

- Potential to receive additional academic recognition for sharing data
- Potential to increase the impact of own research
- Professional or personal relationship with requester

(Optional) Please briefly describe any other reasons related to academic benefits or recognition.

19d. For what other specific reason(s) would you be most likely to share the study data? [**TAILORED QUESTION:** Participants will only be presented with Question #19d if they selected the corresponding response in root Question #19]

20. For what general reason(s) would you be most likely to NOT share the study data? (Please check all that apply) [NOTE: Question #20 only asked of participants who answered "None" to Question #15.]

- Protect Investigator or Funder Interests
- Protect Research Subjects
- Ensure Appropriate Data Use
- Other Reasons
- I would always share data if requested

20a. For what specific reason(s) related to *protecting investigator or funder interests* would you be most likely to NOT share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #20a if they selected the corresponding response in root Question #20]

- Ensure my ability to publish original research using the data
- Ensure my colleague's ability to publish original research using the data
- Monetary expenses associated with sharing data (i.e., direct costs)
- Time or effort involved in preparing data for sharing (i.e., indirect costs)
- Protect commercially sensitive information
- Lack of academic recognition for sharing clinical trial data
- Prohibited by formal agreement with trial funder
- Unsure of employer/research funder policy on data sharing

(Optional) Please briefly describe any other reasons related to protecting investigator or funder interests.

20b. For what specific reason(s) related to protecting research subjects would you be most likely to NOT share the study data? (Please check all that apply) [TAILORED QUESTION: Participants will only be presented with Question #20b if they selected the corresponding response in root Question #20]

- Protect patient confidentiality
- Lack of patient informed consent to share

(Optional) Please briefly describe any other reasons related to protecting research subjects.

20c. For what specific reason(s) related to *ensuring appropriate data use* would you be most likely to NOT share the study data? (Please check all that apply) [**TAILORED QUESTION:** Participants will only be presented with Question #20c if they selected the corresponding response in root Question #20]

- Did not trust data requester's intent
- Potential for misinterpretation of data
- Potential for misleading secondary analyses

(Optional) Please briefly describe any other reasons related to ensuring appropriate data use.

QUESTION: Participants will only be presented with Question #20d if they selected the corresponding response in root Question #20]
21. Have you ever made a personal request to another investigator with whom you were not collaborating to share patient-level clinical trial research data from a previously conducted study? [SKIP QUESTION: If participants answe "Yes" they will be presented with Questions #22-25; If participants answer "No" the survey skips to Question #26.] 2 Yes 2 No
22. How many personal data sharing requests have you made to other clinical investigators? [NOTE: Question #22 only asked of participants who answered "Yes" to Question #21.]
2 One 2 Two 2 Three 2 Four or More
23. For what purpose(s) did you make the data sharing request(s)? (Please check all that apply) [NOTE: Question #23 only asked of participants who answered "Yes" to Question #21.] ② Systematic review/meta-analysis ② Subgroup analysis of originally published study ② Novel research question ② Verification of originally published study ② Academically oriented editorial ② Non-
academically oriented editorial ② Other purposes (please specify):
24. How many of your personal data sharing requests were granted? [NOTE: Question #24 only asked of participants who answered "Yes" to Question #21.] ② None ② One ② Two ② Three ② Four or More
25. How many of your personal data sharing requests were declined/refused? [NOTE: Question #25 only asked of participants who answered "Yes" to Question #21.]
2 None 2 One 2 Two 2 Three 2 Four or More
 Assume personal data sharing requests operate under the following conditions: The data requesters would cover all monetary expenses (i.e., direct costs) associated with sharing data in response to personal requests. Investigators would be under no obligation to release data in response to a personal request if they do not trust the data requester's intent or determine that the data may not be used appropriately.
All authors of a published clinical study should be required to share the deidentified data relating to that publication in response to personal requests.
2 Strongly agree 2 Somewhat agree 2 Somewhat disagree 2 Strongly disagree
27. (Optional) Do you have any additional comments related to sharing data in response to personal requests?
The final questions focus on you.
28. Please indicate your age range. 2 34 years or younger 2 35-49 years 2 50-64 years 2 65 years or older
29. Please indicate your gender. 2 Male 2 Female
30. How many years have passed since the completion of your highest professional degree? 2 0-9 years 2 10-24 years 2 25 years or more
31. Where did you receive scientific training while completing your highest professional degree?

United	States or Canac	la	ope 🛭 Els	ewhere	
32. Which of the following best classifies your current primary employer? [SKIP QUESTION: If participants answer "Yes" they will be presented with Questions #33; If participants answer "No" the survey skips to Question #34.] ② Academic Institution ② Private Industry ② Non-Profit Organization ② For-Profit Hospital ② Government					
33. Which of the following best describes your academic rank? [NOTE : Question #33 only asked of participants who answered "Academic Institution" to Question #32.]					
2 Lecturer, Fellow		Affiliated Pro	fessor ② Assull Professor	sistant Professor	
34. What percent of your overall july 25% 22	=	u devote to resea	_	fiscal year 2010-2011? ② 75% or greater	
35. Over the past three years, app 2 1-5	roximately how	-	ve you published 1-25 🛭 More tha		
36. Over the past three years, approximately how many research grants and contracts (either internally or externally funded) from any source have you been awarded on which you are the principal investigator? [SKIP QUESTION: If participants answer any response except "None" they will be presented with Questions #37-38; If participants answer "None" the survey ends.]					
	-	? 4-6	? 7-10	2 More than 10	
37. What type of organizations awarded these research grants and contracts? (Please check all that apply) [NOTE: Question #37 only asked of participants who answered any response except "None" to Question #36.] Internal funding (funding from your employer): 2 Government 2 Non-profit organizations Private industry					
External funding (funding from sources outside of your employer):					
2 Governme	ent 🛚 🖪	Non-profit orga	nizations 2 Privat	e industry	
38. Over the past three years, what was the total direct cost associated with these grants and contracts? [NOTE: Question #38 only asked of participants who answered any response except "None" to Question #36.] 2 Less than \$50,000 2 \$50,000 - \$499,999 3 \$500,000 - \$999,999 4 More than \$5,000,000					